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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	10/015,392	
	<b>Filing Date</b>	12/12/2001	
	<b>First Named Inventor</b>	Kevin P. BAKER	
	<b>Group/Art Unit</b>	1647	
	<b>Examiner Name</b>	Landsman, Robert S.	
<b>Total Number of Pages in This Submission</b>	10 pages	<b>Attorney Docket Number</b>	39780-2830 PIC58

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Version with Markings Showing Changes <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> <b>Request for Corrected Filing Receipt</b>  <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> ) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> <b>Additional Enclosure(s) (please identify below):</b> <b>Copy of Preliminary Amendment as Filed; Copy of Updated Filing Receipt; and a Return Receipt Postcard</b>
<b>Remarks</b> AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER.		

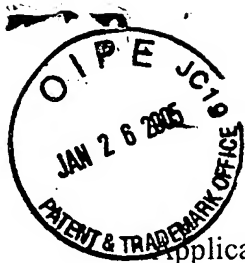
**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

<b>Firm or Individual name</b>	Anna L. Barry, Reg. No. 51,436, HELLER EHRMAN WHITE & McAULIFFE LLP		
<b>Signature</b>			
<b>Date</b>	January 26, 2005	<b>Customer Number:</b>	35489/09157

**CERTIFICATE OF MAILING (37CFR 1.10)**

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is being deposited on the date shown below, with the United States Postal Service as "Express Mail Post Office to Addressee" service (Express Mail No. EL 977 675 091) addressed to Mail Stop: AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450			
<b>Typed or print name</b>	Jason A. Gonzalez		
<b>Signature</b>		<b>Date</b>	January 26, 2005

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop \_\_\_, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: Kevin P. Baker                      Docket No.: 39780-2830 P1C58  
Serial No.: 10/015,392                      Group Art Unit: 1647  
Filing Date: December 12, 2001                      Examiner: Landsman, Robert S.  
For: **NUCLEIC ACID ENCODING PRO 1410 POLYPEPTIDES**

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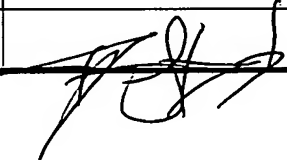
**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Attached is a copy of the official filing receipt received from the USPTO in the above application for which issuance of a corrected filing receipt is respectfully requested. The domestic priority data and foreign applications for this application are incorrectly entered.

The correct priority data, as evidenced by the attached copy of the preliminary amendment filed on August 29, 2002, is:

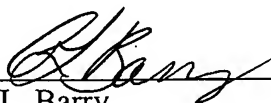
--This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/946,374 filed 9/4/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04342 filed 2/18/2000, which claims priority under 35 USC §119 to US Provisional application 60/162,506 filed 10/29/1999, where PCT/US00/04342 is a continuation-in-part of, and claims priority under 35 USC §120 to, US Application 09/403, 297 filed 10/18/1999, now abandoned, which is the National Stage filed under 35 USC §371 of PCT Application PCT/US99/20111 filed 9/1/1999, which claims priority under 35 USC § 119 to US Provisional Application 60/101,476 filed 9/23/1998--

CERTIFICATE OF EXPRESS MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Mail Stop: AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA on the date indicated below.			
Express Mail Label: <b>EL 977 675 091 US</b>			
Typed or print name	Jason A. Gonzalez		
Signature		Date	January 26, 2005

The correction is not due to any error by applicant and no fee is due. However, in the event that a fee is due, the Commissioner is hereby authorized to charge any such fees to Deposit Account No. 08-1641 (Atty. Docket No. 39878-2830 P1C58).

Respectfully submitted,

Dated: January 26, 2005

  
\_\_\_\_\_  
Anna L. Barry  
Reg. No. 51,436

**HELLER EHRMAN WHITE & McAULIFFE LLP**  
Customer No. 35489/09157  
275 Middlefield Road  
Menlo Park, CA 94025  
Tel: (650) 324-7000  
Fax: (650) 324-0638

**RECEIVED****APR 28 2003**Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	INT. EXAMINING	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/015,392	12/12/2001	1646	740	P2830P1C58	249	20	3

**CONFIRMATION NO. 9874**

Ginger R Dreger  
Heller Ehrman White & McAuliffe LLP  
275 Middlefield Road  
Menlo Park, CA 94025

**UPDATED FILING RECEIPT**

\*OC000000009892455\*

Date Mailed: 04/23/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Kevin P. Baker, Darnestown, MD; ✓  
David Botstein, Belmont, CA; ✓  
Luc Desnoyers, San Francisco, CA; ✓  
Dan L. Eaton, San Rafael, CA; ✓  
Napoleone Ferrara, San Francisco, CA; ✓  
Sherman Fong, Alameda, CA; ✓  
Wei-Qiang Gao, Palo Alto, CA; ✓  
Audrey Goddard, San Francisco, CA; ✓  
Paul J. Godowski, Hillsborough, CA; ✓  
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Daniel Tumas, Orinda, CA; ✓  
Colin K. Watanabe, Moraga, CA; ✓  
P. Mickey Williams, Half Moon Bay, CA; ✓  
William I. Wood, Hillsborough, CA; ✓

**Assignment For Published Patent Application**

Genentech, Inc.;

**Domestic Priority data as claimed by applicant**

This application is a CON of 09/946,374 09/04/2001

**DOCKETED** JMS

which is a CON of PCT/US00/04342 02/18/2000  
which claims benefit of 60/162,506 10/29/1999  
and is a CIP of 09/403,297 10/18/1999 ABN  
which is a 371 of PCT/US99/20111 09/01/1999  
which claims benefit of 60/101,476 09/23/1998

**Foreign Applications**

**If Required, Foreign Filing License Granted:** 02/06/2003

**Projected Publication Date:** 07/31/2003

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Secreted and transmembrane polypeptides and nucleic acids encoding the same

**Preliminary Class**

536

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**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**NOT GRANTED**

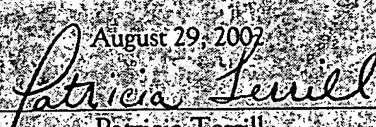
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING

LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Patent Docket P2830P1C58

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  Kevin P. Baker et al.  Serial No.: 10/015392  Filed: 12/12/2001	Group Art Unit: Not yet assigned  Examiner: Not yet assigned  <div style="text-align: center;"><small>CERTIFICATE OF MAILING</small> <small>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on</small>  August 29, 2002  Patricia Terrill</div>
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**PRELIMINARY AMENDMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Prior to substantive examination of the above captioned patent application, Applicants respectfully request that the following amendments be entered.

**In the specification:**

Please delete the paragraph at page 1, line 2, that was previously added by preliminary amendment.

Please insert the following new paragraph at page 1, line 2:

**--RELATED APPLICATIONS**

This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/946374 filed 9/4/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04342 filed 2/18/2000, which claims priority under 35 USC §119 to US Provisional Application 60/162506 filed 10/29/1999, where PCT/US00/04342 is a continuation-in-part of,

**Patent Docket: P2830P1C58**

**Serial No.: 10/015392**

**Filed: 12/12/2001**

and claims priority under 35 USC §120 to, US Application 09/403297 filed 10/18/1999, now abandoned, which is the National Stage filed under 35 USC §371 of PCT Application PCT/US99/20111 filed 9/1/1999, which claims priority under 35 USC §119 to US Provisional Application 60/101476 filed 9/23/1998.--



Patent Docket: P2830P1CS8  
Serial No.: 10/015392  
Filed: 12/12/2001

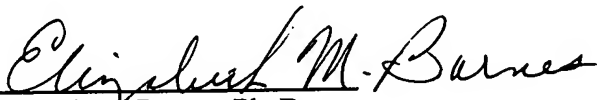
REMARKS

The paragraph at page 1, line 2 that had been added by a previous preliminary amendment has been deleted. A new paragraph beginning at page 1, line 2 has been added to replace the deleted paragraph. The new paragraph lists the documents to which priority is claimed for the instant application. Applicants respectfully request entry of this paragraph for prosecution in this application. The Examiner is invited to contact the undersigned at (650) 225-4563 if any issues may be resolved in that manner.

Respectfully submitted,  
GENENTECH, INC.

Date: August 29, 2002

By:

  
Elizabeth M. Barnes, Ph. D.  
Reg. No. 35,059  
Telephone: (650) 225-4563



09157

PATENT TRADEMARK OFFICE

**Patent Docket: P2830P1C58**  
**Serial No.: 10/015392**  
**Filed: 12/12/2001**

**VERSION WITH MARKINGS TO SHOW CHANGES MADE**

**In the specification:**

The paragraph at page 1, line 2 that had been added by a previous preliminary amendment has been deleted. A new paragraph beginning at page 1, line 2 has been added to replace the deleted paragraph.